

## Request Form for Change of Securities Transaction Limit for Electronic Trading Service 證券電子交易服務限額更改申請表格

Date (DD/MM/YY) 日期 (日/月/年)
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Customer Information 客戶資料	
Name of Customer(s) 客戶姓名	Securities Account No. 證券賬戶號碼

I/We would like to change my securities transaction limit(s) for Electronic Trading Service as follows:  
本人(等)現申請更改證券電子渠道交易限額如下：

Limit Type 限額類別	Default Maximum Limit (HKD Equivalent) 預設最高限額(等值港幣)	Limit Adjusted to (HKD Equivalent)* 更改限額至(等值港幣)*
Limit per Transaction 每宗交易限額	5,000,000	<input type="checkbox"/> 10,000,000 <input type="checkbox"/> 20,000,000 <input type="checkbox"/> .....
Daily Transaction Limit 每日交易限額	50,000,000	<input type="checkbox"/> 100,000,000 <input type="checkbox"/> 200,000,000 <input type="checkbox"/> .....

\*Please select the appropriate box(es) 請選擇適合之方格

Note 註：

1. The adjusted transaction limits should be in thousand(s).  
更改之交易限額須為千元之倍數。
2. The limit per transaction and daily transaction limit can be adjusted to maximum HKD Equivalent 50 million and 500 million respectively.  
每宗及每日交易限額可分別調整至最高等值港幣 5 千萬及 5 億元。
3. All transaction limits are subject to the Bank's "General Conditions for Accounts and Services".  
所有交易限額均受本行之《賬戶及服務一般條款》約束。
4. Customers may make subsequent requests for changes to currently applicable securities transaction limits.  
客戶可再提出修改當時適用證券交易限額的申請。
5. It requires at least 4 working days to process the above request(s).  
辦理以上申請至少需時 4 個工作天。

### Declaration 聲明：

I/We acknowledge the risks involved in increasing the transaction limits, and agree that CMB Wing Lung Bank Limited shall not be liable for any consequence resulting from the increase of transaction limits in the absence of negligence, willful misconduct or fraud. 本人(等)明白提升交易限額附帶風險，並同意如不涉及招商永隆銀行有限公司之疏忽、不當或欺騙行為，本人(等)將就因提升交易限額引致之所有後果承擔法律責任。

(For Individual Customer 供個人客戶使用)

SIGNED by \_\_\_\_\_ )  
 簽署人 \_\_\_\_\_ )  
 \_\_\_\_\_ )  
 \_\_\_\_\_ )  
 \_\_\_\_\_ )  
 \_\_\_\_\_ )  
 \_\_\_\_\_ )

\_\_\_\_\_  
 Name of Customer/Authorized Person\*  
 客戶姓名/獲授權人士姓名\*

\* Delete as inapplicable 刪去不適用者

(For Corporate Customer 供公司客戶使用)

SIGNED by \_\_\_\_\_ )  
 簽署人 \_\_\_\_\_ )  
 \_\_\_\_\_ )  
 \_\_\_\_\_ )  
 \_\_\_\_\_ )  
 \_\_\_\_\_ )  
 \_\_\_\_\_ )

\_\_\_\_\_  
 Name of Authorized Person/Representative\*\*  
 獲授權人士/獲授權代表姓名\*\*

\*\* Authorized Person(s)/Representative(s) signing this form must be the Authorized Person(s)/ Representative(s) under the "Application and Mandate for Accounts/Services" (or any amendment thereto).  
 簽署本表的獲授權人士/獲授權代表必須是「賬戶/服務申請及委託書」(或其任何修改)下的獲授權人士/獲授權代表。

### For Bank Use Only 銀行專用

Br/Dept:	Maker:	S.V.:	Checked:	Input:
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