

## **NET Securities Trading Platform User Guide**

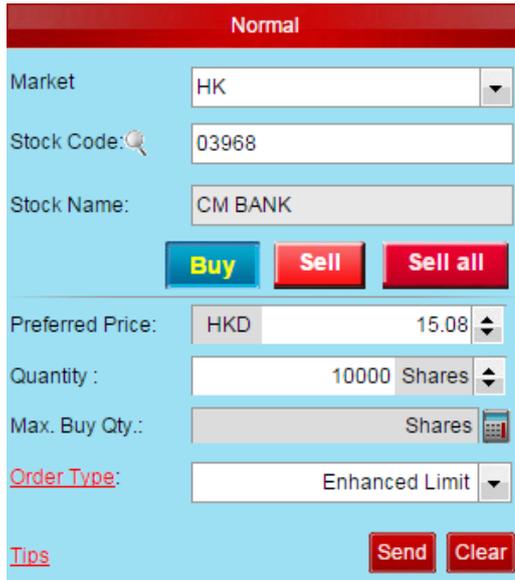
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## I Securities Trading

### 1) Buy / Sell

Select Securities Trading → HK & China Securities Trading

1. Input Market, Stock Code, Action, Preferred Price, Quantity, Order Type  
Press “Send”



**Normal**

Market:

Stock Code:

Stock Name:

Preferred Price:

Quantity:

Max. Buy Qty.:

Order Type:

[Tips](#)

2. Check the content, press “Confirm”



**CMB Wing Lung NET Banking** HK Time: 2019/01/22 16:16

[Help](#)

**Please Confirm Your Instruction**

Action:	Buy
Market:	HK
Stock Code:	03968
Stock Name:	CM BANK
Preferred Price:	HKD15.080
Quantity:	10,000 shares
Order Type:	Enhanced Limit
Estimated Transaction Amount:	HKD151,554.00

### 3. Jot down Order Number for further enquiry



**CMB Wing Lung NET Banking** HK Time: 2019/01/22 16:16

[Help](#)

**Trading - Acknowledgement**

Securities trading instructions have been received ( Order No.:17726190) and will be executed **in this trading session.**

Action: Buy  
 Market: HK  
 Stock Code: 03968  
 Stock Name: CM BANK  
 Preferred Price: HKD15.080  
 Quantity: 10,000 shares  
 Order Type: Enhanced Limit  
 Estimated Transaction Amount: HKD151,554.00

**Order status : New (NEW)**

Please note that your instructions may be rejected by the system of the Stock Exchange due to stock price fluctuation. You are highly recommended to check the latest order status from time to time during valid trading session. Instructions that cannot be executed on or before the order validity date will automatically be cancelled.

Amend
Cancel
Order Journal Detail
Trade Again

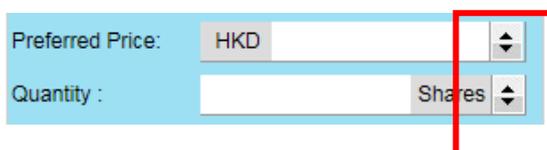
Customer can click the “Day Order Journal” under “HK & China Securities Trading” page to check the latest status of the order placed.

Available Balance For Securities Trading : HKD 12,123,123,456,789.55

Available Balance For Securities Trading : HKD 12,123,123,456,789.55										Settlement A/C Balance	Securities A/C Position Summary	Stock Portfolio	Day Order Journal	Instruction Enquiry
Order Create Time	Order No.	Action	Market	Stock Code	Stock Name	Quantity/ Executed Qty	Currency	Price	Order status					
2011/10/11 10:16:20	17726190	Buy	HK	03968	CM BANK	10,000/ 8,000	HKD	15.000	NEW	<span style="border: 1px solid green; padding: 2px;">Amend</span> <span style="border: 1px solid red; padding: 2px; margin-left: 5px;">Cancel</span>				
2011/10/11 10:15:11	17726189	Buy	HK	03968	CM BANK	10,000/ 8,000	HKD	15.040	NEW	<span style="border: 1px solid green; padding: 2px;">Amend</span> <span style="border: 1px solid red; padding: 2px; margin-left: 5px;">Cancel</span>				
2011/10/11 10:06:51	17726187	Buy	HK	03968	CM BANK	10,000/ 8,000	HKD	15.100	NEW	<span style="border: 1px solid green; padding: 2px;">Amend</span> <span style="border: 1px solid red; padding: 2px; margin-left: 5px;">Cancel</span>				
2011/10/11 10:10:54	17726188	Sell	HK	03968	CM BANK	500/ 300	HKD	15.100	FEX					

### Convenient Features:

- Use the up and down arrow next to the Preferred Price and Quantity to adjust the price and quantity easily



Preferred Price:  ↑ ↓

Quantity :  Shares ↑ ↓

- Input “.” in the “Quantity” field means “000”  
 Example: Simply input “8” and “.” twice for 8000000 shares
- Press the calculator button will give you the maximum no. of shares that you can Buy or Sell for reference (applicable to cash securities account to trade HK & China Securities Trading only)



- Press the “Sell All” button if you want to sell all the shares of a stock, the system will automatically fill in the available for trade quantity.



## 2) Speed Trading: Just 3 clicks to finish order placement

- Click directly on the price shown on the stock price enquiry page, information such as Action, Preferred Price, Stock Code and board lot (for Buy action) will be automatically filled in.
- Just 3 clicks to finish order placement!

**1 Click**

**2 Click**

**3 Click**

Day High/Low	19.260/18.960
Open/Prev. Close	18.960/18.820
Turnover	161.73M
PE Ratio	6.90
Dividend Yield	4.44%
Lot Size	500
Price Spread	0.020/0.020
Market Cap	87.78B
52W Range	26.850/13.120
10/50-Day SMA	18.520/19.327
Ex-Date	
DPS	HKD0.000

Stock Information for **03968 CM BANK**  
 Nominal: HKD19.120  
 ▲ 0.300/1.59%

	SELL NOW	Bid	Ask	BUY NOW
		19.100	19.120	
	43.0K (27)	19.100	19.120	60.5K (14)
	46.5K (12)	19.090	19.140	64.0K (19)
	11.0K (18)	19.090	19.160	57.5K (6)
	75.0K (10)	19.040	19.180	52.0K (8)
	56.5K (7)	19.020	19.200	35.0K (9)

Order Form Fields:  
 Market: HK  
 Stock Code: 03968  
 Stock Name: CM BANK  
 Preferred Price: HKD 19.68  
 Quantity: 10000 Shares  
 Order Type: Enhanced Limit  
 Buttons: Buy, Sell, Sell All, Send, Clear

Confirmation Dialog:  
 Please Confirm Your Instruction  
 Buy  
 HK  
 03968  
 CM BANK  
 Price: HKD15.080  
 10,000 shares  
 Enhanced Limit  
 Estimated Transaction Amount: HKD151,554.00  
 Buttons: Back, Cancel, Confirm

### 3) Order Type accepted in various Trading Session

Trading Session	Order Type	Board lots trading	Odd lots trading (sell only)	Special lots trading (sell only)
Pre-opening	At-Auction	✓	✗	✗
	At-Auction Limit	✓	✗	✗
Continuous	Limit	✓	✗	✓
	Market	✗	✓	✗
	Enhanced Limit	✓	✗	✓
	Special Limit	✓	✗	✓
Closing auction	At-Auction	✓	✗	✗
	At-Auction Limit	✓	✗	✗

#### 4) Order Amendment

##### Quantity / Price Amendment

Quantity to be amended must not be smaller than executed quantity and not larger than latest instructed quantity. (Please refer to example for details)

##### 1. Select “Day Order Journal”

Available Balance For Securities Trading : HKD 12,123,123,456,789.55						Settlement A/C Balance	Securities A/C Position Summary	Stock Portfolio	Day Order Journal	Instruction Enquiry
Order Create Time	Order No.	Action	Market	Stock Code	Stock Name	Quantity/ Executed Qty	Currency	Price	Order status	
2011/10/11 10:16:20	17726190	Buy	HK	03968	CM BANK	10,000/ 8,000	HKD	15.000	NEW	Amend Cancel
2011/10/11 10:15:11	17726189	Buy	HK	03968	CM BANK	10,000/ 8,000	HKD	15.040	NEW	Amend Cancel

##### 2. Click “Amend” in the row of the order to be amended

##### 3. Input new quantity and/or price

**Order Amendment - Input**

Order No.: 17726189

Action: Buy

Market: HK

Stock Code: 03968

Stock Name: CM BANK

Original Price : HKD15.000

Original Quantity: 10,000 shares

Outstanding Quantity: 10,000 shares

Price to be Amended:

Quantity to be Amended:  shares

##### 4. Check the content and press “Confirm”

CMB Wing Lung NET Banking HK Time: 2019/01/22 16:16

**Order Amendment- Please Confirm**

Order No.: 17726189

Action: Buy

Market: HK

Stock Code: 03968

Stock Name: CM BANK

Price to be Amended: HKD15.080

Quantity to be Amended: 10,000 shares

Estimated Transaction Amt.: HKD150,800.00

### Example

Latest instruction is to buy 5,000 shares in China Merchants Bank Co., Ltd., Stock Code 03968, at \$14.98 and 3,000 shares have been executed; the quantity entered should be between 3,000 and 5,000 inclusive.

Case 1 amended quantity = 2,000 shares

Invalid quantity because amended quantity 2,000 shares < executed quantity 3,000 shares

Case 2 amended quantity = 3,000 shares

Invalid quantity because amended quantity 3,000 shares = executed quantity 3,000 shares

Case 3 amended quantity = 4,000 shares and amended price = \$14.76

Latest Order Status: already executed 3,000 shares at \$14.98 and therefore your instructions are actually to buy outstanding 1,000 shares at \$14.76

Case 4 amended quantity = 6,000 shares

Invalid quantity because amended quantity 6,000 shares > latest instructed quantity 5,000 shares

## 5) Order Cancellation

(Only applicable to open orders)

### 1. Select “Day Order Journal”

Available Balance For Securities Trading : HKD 12,123,123,456,789.55						Settlement A/C Balance	Securities A/C Position Summary	Stock Portfolio	Day Order Journal	Instruction Enquiry
Order Create Time	Order No.	Action	Market	Stock Code	Stock Name	Quantity/ Executed Qty	Currency	Price	Order status	
2011/10/11 10:16:20	17726190	Buy	HK	03968	CM BANK	10,000/ 8,000	HKD	15.000	NEW	Amend Cancel
2011/10/11 10:15:11	17726189	Buy	HK	03968	CM BANK	10,000/ 8,000	HKD	15.040	NEW	Amend Cancel

2. Click “Cancel” in the row of the order to be cancelled.

3. Check the information and press “Confirm”

**Order Cancellation**

**Order Cancellation - Please Confirm**

Order No.:	17726189
Action:	Buy
Market:	HK
Stock Code:	03968
Stock Name:	CM BANK
Preferred Price :	HKD15.100
Quantity:	10,000 shares
Outstanding Quantity:	4,000 shares



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Note: Once confirmed, the order instruction cancellation will be processed.

Press "Confirm"



**CMB Wing Lung NET Banking**

HK Time: 2019/01/22 16:21  

**Order Cancellation - Acknowledgement**

Order No.:	17726189
Action:	Buy
Market:	HK
Stock Code:	03968
Stock Name:	CM BANK
Preferred Price:	HKD15.100
Quantity:	10,000 shares
Outstanding Quantity:	10,000 shares
Quantity to be Cancelled:	10,000 shares

Order cancellation instructions have been received and will take effect after the Bank has confirmed that the previous instructions are still outstanding. Please check if the order cancellation instructions have been successfully executed later. Instructions that cannot be executed on or before order validity date will automatically be cancelled.

**Order status: Cancelled (CAN)**

## 6) Transaction History and Order Status Enquiry

### Day Order Journal

Available Balance For Securities Trading : **HKD 12,123,123,456,789.55**

						Settlement A/C Balance	Securities A/C Position Summary	Stock Portfolio	Day Order Journal	Instruction Enquiry
Order Create Time	Order No.	Action	Market	Stock Code	Stock Name	Quantity/ Executed Qty	Currency	Price	Order status	
2011/10/11 10:16:20	17726190	Buy	HK	03968	CM BANK	10,000/ 8,000	HKD	15.000	NEW	<a href="#">Amend</a> <a href="#">Cancel</a>
2011/10/11 10:15:11	17726189	Buy	HK	03968	CM BANK	10,000/ 8,000	HKD	15.040	NEW	<a href="#">Amend</a> <a href="#">Cancel</a>
2011/10/11 10:06:51	17726187	Buy	HK	03968	CM BANK	10,000/ 8,000	HKD	15.100	NEW	<a href="#">Amend</a> <a href="#">Cancel</a>
2011/10/11 10:10:54	17726188	Sell	HK	03968	CM BANK	500/ 300	HKD	15.100	FEX	

### Transaction details up to past 180 days

Account Selection | **Securities Trading** | Account Enquiry | Transfer Service | HK & China A Stock Market Info | IPO Centre | Securities Savings Scheme | News & Promotion | Registration Service | **NET Banking**

HK & China Securities Trading | Global Securities Trading | **Transaction History** | Stock Quote Service Selection: Snapshot | Streaming

You may enquire transaction details up to 31 days at a time for the past 180 days.

Search Transaction History by:

Create Date

Day

From 2017/06/07 to 2017/06/07

By Month --Please Select--

Market: HK

Stock Code

All  --Please Enter--

Action

All  Buy  Sell

Order Status: All

Instruction Type: --Please Select--

[Send](#) [Clear](#)

Search transaction history by Order No.:

Order No.: --Please Enter-- [Send](#) [Clear](#)

Search transaction history by Instruction No.:

Instruction No.: --Please Enter-- [Send](#) [Clear](#)

## II. Account Enquiry

### Stock Portfolio

Available Balance For Securities Trading : **HKD 12,123,123,456,789.55**

		Settlement A/C Balance	Securities A/C Position Summary	Stock Portfolio	Day Order Journal	Instruction Enquiry		
Market	Stock Code	Stock Name	Holding Shares	Available Shares	Currency	Stock Price <sup>1</sup>	Market Value <sup>2</sup>	
HK	00002	CLP HOLDINGS	3,000	3,000	HKD	69.800	209,400.00	Buy Sell Sell All
HK	00005	HSBC HOLDINGS	1,906	1,906	HKD	80.250	152,956.50	Buy Sell Sell All
HK	00006	POWER ASSETS	1,500	1,500	HKD	59.200	88,800.00	Buy Sell Sell All
HK	00008	PCCW	1,000	1,000	HKD	8.200	8,200.00	Buy Sell Sell All

### Settlement A/C Balance

Available Balance For Securities Trading : **HKD 12,123,123,456,789.55**

		Settlement A/C Balance	Securities A/C Position Summary	Stock Portfolio	Day Order Journal	Instruction Enquiry
Settlement A/C	Currency	Ledger Balance	Available Balance For HK Shares Trading	Available Balance For China A Shares Trading	Available Balance For Transfer/Withdrawal	
100-100-1000-1	HKD	106,000,838.55	105,697,529.55	-	200,000.00	
100-100-2000-1	CNY	3,520.00	200,000.00	100,000.00	200,000.00	Transfer
100-100-3000-1	USD	3,520.00	200,000.00	-	200,000.00	

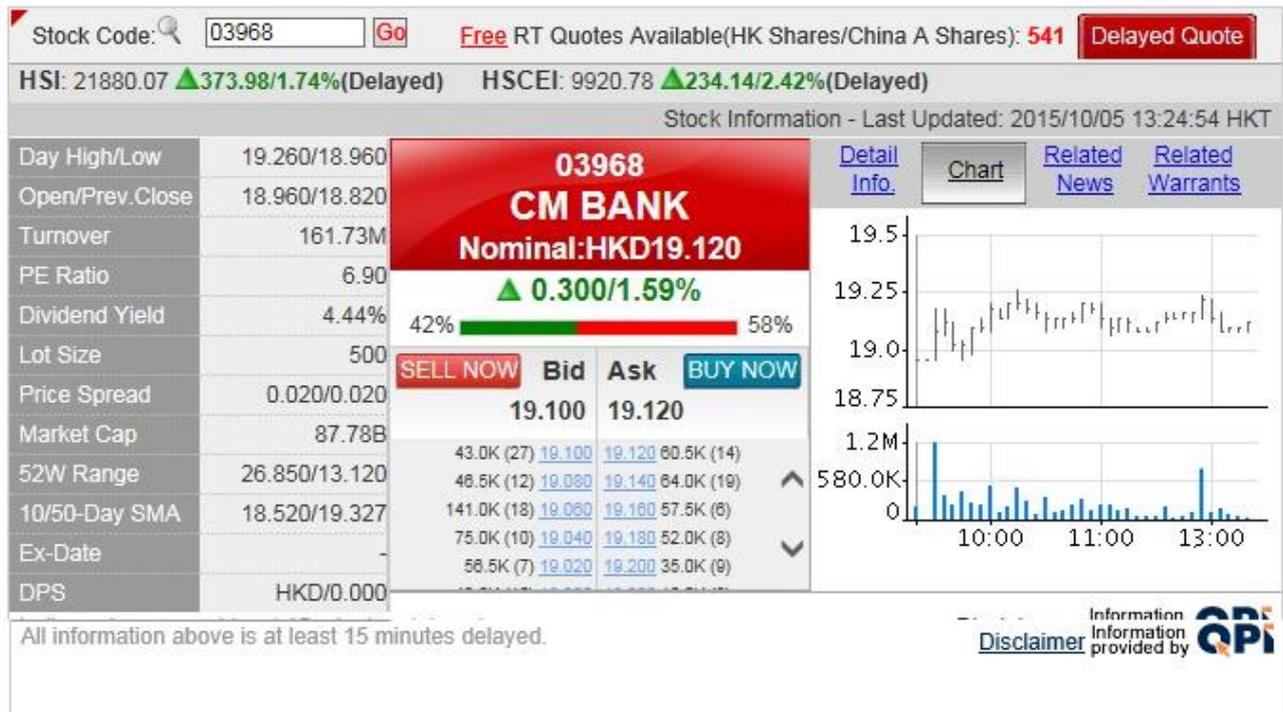
### Securities A/C Position Summary (applicable to margin securities account or securities account who registered global securities trading services)

Available Balance For Securities Trading : **HKD 12,123,123,456,789.55**

		Settlement A/C Balance	Securities A/C Position Summary	Stock Portfolio	Day Order Journal	Instruction Enquiry
Currency	HKD	Available Margin For Trading	101,997,088.00	Available Margin For Withdrawal	101,997,088.00	
Loan Limit	200,000,000.00	Current Loan(DR)/Credit(CR) Balance	0.00	Projected Loan(DR)/Credit(CR) Balance	0.00	
Total Margin Value	943,432.82	M-T-D Accrued Interest	0.00	Margin Ratio	0.00%	
Currency	Settled Account Balance		Available Balance For Transfer/Withdrawal			
CNY	123,943,432.82		123,943,432.82			
USD	123,943,432.82		123,943,432.82			
* Please note funds hold for settlement cannot be withdrawn.						

### III. Snapshot Stock Price Enquiry

Free real-time stock quotes



## IV. Transfer Service

### Bank Account Transfer

Withdrawal and Deposit account must be in same currency for Bank Account Transfer

Account Selection	Securities Trading	Account Enquiry	Transfer Service	HK & China A Stock Market Info	IPO Centre	Securities Savings Scheme	News & Promotion	Registration Service
			Bank Account Transfer	Securities Account Transfer	Securities Account Transfer History			

Bank Account Transfer - Input	
Transfer From	HKD Savings A/C 100-100-1000-1
Transfer To	CNY Savings A/C 100-100-1002-2
Amount	HKD <input type="text" value="--Please Input--"/>
<input type="button" value="Send"/> <input type="button" value="Cancel"/>	

### Securities Account Transfer (applicable to margin securities account or securities account who registered global securities trading services)

Securities Account transfer instructions can be conducted every day, with the cut-off time at 6:00p.m. from Monday to Saturday. Securities Account transfer instructions given after the cut-off will be handled on next working day. The maximum securities Account transfer limit per transaction and per day are both equivalent to HKD999,999,999.

Account Selection	Securities Trading	Account Enquiry	Transfer Service	HK & China A Stock Market Info	IPO Centre	Securities Savings Scheme	News & Promotion	Registration Service
			Bank Account Transfer	Securities Account Transfer	Securities Account Transfer History			

Securities Account Transfer - Input	
Action	Transfer from HKD Settlement Account to Securities Account
Amount	HKD <input type="text" value="--Please Input--"/>
<input type="button" value="Send"/> <input type="button" value="Clear"/>	

1. Select Transfer Service
2. Choose Securities Account Transfer
3. Select "Action" and Input "Amount"

## V. Securities Savings Scheme Enquiry

Enquiry for the latest 120 days' records

Account Selection	Securities Trading	Account Enquiry	Transfer Service	Stock Market Info	IPO Centre	<b>Securities Savings Scheme</b>	News & Promotion	NET Banking
						Scheme Details	Existing Securities Savings Scheme	

<b>Plan Code: SP02800</b>			
Plan Number: 0001	Status: Valid	Currency: HKD	
Stock Name: Tracker Fund	Stock Code: 02800	Monthly Contribution Amount: 1,000.00	
Purchase Date	Purchase Price	Purchase Quantity (shares)	Refund Amount
2011/10/18	19.800	47	19.400
2011/09/16	19.400	48	19.800
2011/08/17	18.000	52	14.000

<b>Plan Number: SP00388</b>			
Plan Code: 0002	Status: Valid	Currency: HKD	
Stock Name: HKEx	Stock Code: 00388	Monthly Contribution Amount: 5,000.00	
Purchase Date	Purchase Price	Purchase Quantity (shares)	Refund Amount
2011/10/10	138.000	35	20.000
2011/09/07	140.000	35	50.000
2011/08/09	146.000	35	132.000

## VI. Stock Market Information

Stocks	A Shares	Warrants	CBBC	Top Movers	Indices & Constituents	News & Commentary	Listed Company Info	IPO	Watch List	Tools	G
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<b>Quote</b>
Stock Code: <input type="text"/> <input type="button" value="-Enter-"/> <input type="button" value="Go"/>



<b>Upcoming IPO</b> <a href="#">More &gt;&gt;</a>	
Listing Date	Stock Code/ Stock Name
2016/11/08	08283 ZHENG LI HDLG
2016/11/08	03689 KANGHUA HEALTH
2016/11/08	03686 CLIFFORDML
2016/11/08	01572 CHINA ART FIN
2016/11/08	08052

<b>HK Major Indices(Delayed)</b> <a href="#">More &gt;&gt;</a>				
Market Turnover	HSI	HSCEI	HSCCI	GEM
16.86B	22791.13 ▼ -19.37 (-0.08%)	9523.74 ▲ 3.87 (0.04%)	3751.59 ▲ 8.12 (0.22%)	379.97 ▲ 0.11 (0.03%)

<b>Top % Gainers(Delayed)</b> <a href="#">More &gt;&gt;</a>			
Stock Code	Stock Name	Nominal	Change
00865	FIRST MOBILE	0.238	▲ +26.60%
08085	HK LIFE SCI	0.120	▲ +15.38%
08293	SINGASIA HLDG	2.700	▲ +12.50%
03344	ADDCHANCE	0.510	▲ +10.87%
01323	NEWTREE GROUP	0.530	▲ +10.42%

<b>Top % Losers(Delayed)</b> <a href="#">More &gt;&gt;</a>			
Stock Code	Stock Name	Nominal	Change
00711	ASIA ALLIED INF	0.840	▼ -9.68%
00638	KIN YAT HOLD	1.100	▼ -7.56%
08186	IR RESOURCES	0.086	▼ -7.53%
08407	CISI FIN	1.230	▼ -6.82%
08018	FINSOFT FIN	0.220	▼ -6.78%

<b>Market News</b> <a href="#">More &gt;&gt;</a>	
Date / Time	Headline News
2016/11/03 09:00 HKT	Glencore Suspended From Trading, Pending Release of 3Q Production Report (Dow Jones News)
2016/11/03 09:00 HKT	Value Partners Appoints Cheah Cheng Hye as Acting CEO With Immediate Effect (Dow Jones News)

**VII. Watch List**

Stock Code	Stock Name	Nominal	Net Change	Bid / Ask Price	Open	Day High / Low	Turnover	Purchase Price	Purchase Quantity	Purchase Cost	Ref. Market Value (HKD)	Ref. Gain/Loss	
<input type="text" value="3968"/>	CM BANK	18.700	+0.040 (+0.21%)	18.680 / 18.720	18.560	18.760 / 18.500	41.78M	<input type="text" value="12.000"/>	<input type="text" value="10000"/>	120K	187K	+67K	Delete
<input type="text" value="0001"/>	CKH HOLDINGS	95.700	-0.150 (-0.16%)	95.650 / 95.750	95.600	96.200 / 95.350	70.90M	<input type="text" value="100.000"/>	<input type="text" value="2000"/>	200K	191.40K	-8.60K	Delete
<input type="text" value="0002"/>	CLP HOLDINGS	78.800	-0.100 (-0.13%)	78.750 / 78.800	78.800	79.400 / 78.700	33.77M	<input type="text" value="60.000"/>	<input type="text" value="5000"/>	300K	394K	+94K	Delete
<input type="text"/>								<input type="text"/>	<input type="text"/>				
<input type="text"/>								<input type="text"/>	<input type="text"/>				
<input type="text"/>								<input type="text"/>	<input type="text"/>				

For enquiries, please call the bank's Customer Service Hotline at (852) 230 95555.