

環球證券買賣服務收費表

(2023年1月1日起生效)

(A) 證券交易服務¹

項目	收費 (按成交股數計算)	最低收費	最高收費	備註	
美國證券					
經紀佣金	股數區間 美元/股	8 美元	--	經紀佣金按自然月累計經電子渠道和人手渠道的成功交易股數，每個自然月首個交易日重新開始計算。 每日交易佣金於當日收盤後統一結算和扣除。 示例： 成功交易美股股數 1050 股，交易佣金 = 500 * \$0.018 / 股 (首 500 股收費層) + 500 * \$0.017 50 / 股 (501-1000 股收費層) + 50 * \$0.0170 / 股 (1001-5000 股收費層) = \$18.6	
· 經網上證券服務或「招商永隆銀行一點通」手機銀行服務進行交易	500 股及以下 0.0180 501-1,000 股區間 0.0175 1,001-5,000 股區間 0.0170 5,001-10,000 股區間 0.0165 10,001-50,000 股區間 0.0160 50,001-200,000 股區間 0.0150 200,001-500,000 股區間 0.0130 500,001-1,000,000 股區間 0.0120 1,000,001-5,000,000 股區間 0.0110 5,000,000 股以上 0.0100				
· 經本行分行或專人接聽證券服務買賣熱線進行交易	股數區間 美元/股	30 美元			
	500 股及以下 0.0360 501-1,000 股區間 0.0350 1,001-5,000 股區間 0.0340 5,001-10,000 股區間 0.0330 10,001-50,000 股區間 0.0320 50,001-200,000 股區間 0.0300 200,001-500,000 股區間 0.0260 500,001-1,000,000 股區間 0.0240 1,000,001-5,000,000 股區間 0.0220 5,000,000 股以上 0.0200				
美國證監會收費	總金額的 0.00229%	0.01 美元	--		只適用於沽盤 收費率將按美國證監會每年不定時公佈而作出調整
美國金融業監管局交易費用	按沽出股數計 0.00013 美元/股	0.01 美元	6.49 美元		只適用於沽盤 美國金融業監管局收取 收費率將按美國金融業監管局每年不定時公佈而作出調整
非美國 DTC 結算費	收費按不同股票種類計算	--	75 美元		美國場外市場交易證券

項目	收費 (按每項指示之成交金額計算)	最低收費	最高收費	備註
預托證券費	每股 0.01-0.05 美元	--	--	於截止過戶日發行商收取
交收費	0.003 美元/股	--	--	美國結算機構等收取
託管費	免費	--	--	不包括美國預托證券，預托證券發行商可能收取費用
中國 A 股²				
經紀佣金	0.2%	人民幣 60 元	--	客戶買賣日本股票碎股需要繳付一項附加交易費
· 經本行分行或專人接聽證券服務買賣熱線進行交易	0.25%	人民幣 200 元	--	
· 經本行分行或專人接聽證券服務買賣熱線進行交易	0.00487%	--	--	上交所/深交所收取
證管費 ³	0.002%	--	--	中國證監會收取
過戶費 ³	0.002%	--	--	中國結算上海/中國結算深圳收取
	0.002%	--	--	香港結算收取
交易印花稅 ³	0.1%	--	--	只適用於沽盤 國家稅務總局收取
日本證券				
經紀佣金	1%	3,000 日元	--	客戶買賣日本股票碎股需要繳付一項附加交易費
· 經本行分行或專人接聽證券服務買賣熱線進行交易	1%	35 歐羅 /40 美元 /新加坡 50 元 /50 澳元 /人民幣 270 元 /港幣 300 元	--	
託管費	免費	--	--	--
新加坡證券				
經紀佣金	0.25%	35 歐羅 /40 美元 /新加坡 50 元 /50 澳元 /人民幣 270 元 /港幣 300 元	--	--
· 經本行分行或專人接聽證券服務買賣熱線進行交易	1%	--	新加坡 200 元	--
結算費	一般股份: 0.0325%	--	--	--
	結構性備兌證 (窩輪): 0.05%	--	--	--
SGX 行動費	0.0075%	--	--	--
託管費	免費	--	--	--
澳洲證券				
經紀佣金	1%	60 澳元	--	--

券服務買賣熱線進行交易									
託管費	免費	--	--	--					
英國證券									
經紀佣金	1%	60 英鎊 /75 歐羅 /100 美元	--	--					
· 經本行分行或專人接聽證券服務買賣熱線進行交易	0.5%	--	--	--	只適用於買盤				
印花稅									
PTM 徵費	1 英鎊	--	--	--	只適用於部份交易金額超過 英鎊 10,000 之證券				
證券結算系統結算費用	如適用將按實際應付金額收取	--	--	--					
託管費	免費	--	--	--					
德國證券									
經紀佣金	1%	40 歐羅 /55 美元	--	--					
· 經本行分行或專人接聽證券服務買賣熱線進行交易									
其它交易徵費	如適用將按實際應付金額收取	--	--	--					
託管費	德國投資組合總值 x 0.05% / 12	1 歐羅	--	--	於下一個公曆月收取				
瑞士證券									
經紀佣金	1%	50 瑞士法郎 /35 英鎊 /40 歐羅 /55 美元	--	--					
· 經本行分行或專人接聽證券服務買賣熱線進行交易									
其它交易徵費	如適用將按實際應付金額收取	--	--	--					
託管費	免費	--	--	--					

(B) 代理人及企業行動服務收費

項目	收費	最低收費	最高收費
中國 A 股²			
非實物證券存入指示	免費	-	-
非實物證券提取指示	每隻證券 港幣 250 元	-	-
代收股息/利息/現金權益手續費 ⁴	按所收金額 0.5%	人民幣 30 元	人民幣 2,000 元
代辦各類公司行動指示手續費	每項指示 港幣 150 元	-	-
美國證券			
非實物證券存入指示	免費	-	-
非實物證券提取指示	每隻證券 港幣 800 元	-	-
代收股息/利息/現金權益手續費 ⁴	免費	-	-
代辦各類公司行動指示手續費	每項指示 港幣 300 元	-	-
日本證券			
非實物證券存入指示	免費	-	-
非實物證券提取指示	每隻證券 港幣 800 元	-	-
代收股息/利息/現金權益手續費 ⁴	按所收金額 2.5%	1,500 日元	30,000 日元

代辦各類公司行動指示手續費	每項指示 港幣 250 元	-	-
新加坡證券			
實物證券提取或存入指示	每隻證券港幣 800 元，另加每張實物證券港幣 30 元	-	-
非實物證券存入指示	免費	-	-
非實物證券提取指示	每隻證券 港幣 800 元	-	-
代收股息/利息/現金權益手續費 ⁴	按所收金額 2.5%	新加坡 25 元	新加坡 300 元
代辦各類公司行動指示手續費	每項指示 港幣 200 元	-	-
澳洲證券			
非實物證券存入指示	免費	-	-
非實物證券提取指示	每隻證券 港幣 800 元	-	-
代收股息/利息/現金權益手續費 ⁴	按所收金額 2.5%	15 澳元	300 澳元
代辦各類公司行動指示手續費	每項指示 港幣 200 元	-	-
英國證券			
非實物證券存入指示	免費	-	-
非實物證券提取指示	每隻證券 港幣 800 元	-	-
代收股息/利息/現金權益手續費 ⁴	按所收金額 2.5%	20 英鎊	180 英鎊
代辦各類公司行動指示手續費	每項指示 港幣 250 元	-	-
德國證券			
非實物證券存入指示	免費	-	-
非實物證券提取指示	每隻證券 港幣 800 元	-	-
代收股息/利息/現金權益手續費 ⁴	按所收金額 2.5%	10 歐羅	200 歐羅
代辦各類公司行動指示手續費	每項指示 港幣 250 元	-	-
瑞士證券			
非實物證券存入指示	免費	-	-
非實物證券提取指示	每隻證券 港幣 800 元	-	-
代收股息/利息/現金權益手續費 ⁴	按所收金額 2.5%	15 瑞士法郎	250 瑞士法郎
代辦各類公司行動指示手續費	每項指示 港幣 250 元	-	-

(C) 資訊服務

客戶可選擇經濟通大利市或博達智財大利市串流報價服務			
經濟通大利市	上網版月費	上網版+手機版月費	備註
上海 A 股	港幣 600 元	港幣 638 元	-費用已包括港股串流報價 -於該曆月內已付港股及中國 A 股交易佣金達等值港幣 2,000 元以上，隨後一個月可獲豁免月費
深圳 A 股	港幣 600 元	港幣 638 元	-費用已包括港股串流報價 -於該曆月內已付港股及中國 A 股交易佣金達等值港幣 2,500 元以上，隨後一個月可獲豁免月費
上海 A 股+深圳 A 股	港幣 850 元	港幣 888 元	-費用已包括港股串流報價 -於該曆月內已付港股及中國 A 股交易佣金達等值港幣 2,500 元以上，隨後一個月可獲豁免月費
博達智財大利市 (專業桌面下載版+手機版)			
上海 A 股	港幣 850 元	-	-費用已包括港股串流報價

深圳 A 股	港幣 850 元	-於該曆月內已付港股及中國 A 股交易佣金達等值港幣 2,500 元以上，隨後一個月可獲豁免月費
上海 A 股+深圳 A 股	港幣 1,100 元	-

(D) 保證金服務

保證金借貸利率	
保證金美元借貸利率	本行美元最優惠利率 (隨市況浮動) +1.5% (年利率)
保證金人民幣借貸利率	本行人民幣最優惠利率 (隨市況浮動) -0.5% (年利率)

註：

1. 客戶須付證券保管費 (120 港元/年)，每年於開戶月份收取，於該年度沒有證券 (港股/環球等) 無須繳付，年度港股交易金額達港幣 5,000,000 元可獲豁免。詳情參考《招商永隆銀行服務收費手冊》第一部分(E)證券服務收費(E3)證券保管費收費標準。

2. 中國 A 股指獲納入「滬港通」及「深港通」計劃之上海 A 股及深圳 A 股

3. 該等收費由上海證券交易所 (「上交所」)、深圳證券交易所 (「深交所」)、中國證券監督管理委員會 (「中國證監會」)、中國證券登記結算有限責任公司上海分公司 (「中國結算上海」)、中國證券登記結算有限責任公司深圳分公司 (「中國結算深圳」)、國家稅務總局及香港中央結算有限公司 (「香港結算」) 釐定及收取。

4. 客戶應付之「代收股息/利息/現金權益手續費」將從實收之原幣中扣除，惟若收取之款項並非上列最低及最高收費所示之幣別，本行將折算以上最低及最高收費之等值外幣並從收取金額中支取。折算匯率由本行釐訂。

除交易佣金外，客戶委託進行各國證券交易，需繳付涉及之其他各項費用，包括但不限於由相關證券交易所/證券商收取的交易費、證券結算系統的結算費、證券託管商的服務費或各地政府收取的證券交易銷售稅項等，如該等第三方費用有所更新，招商永隆銀行有限公司 (「本行」) 恕不提前通知，並將按最新收費向客戶收取。

上列各國市場證券派發之股息、利息或其他權益或須繳付由當地政府規定適用之股息/利息/現金權益稅項，實際稅率須視乎每個國家之稅務政策並可能隨時變更，詳情可向本行查詢，本行將根據各地最新之適用稅率從客戶應收股息/利息/現金權益中扣除有關稅項。

經本行代辦各類公司行動指示，客戶除須繳付上列手續費外，可能還需就有關公司行動支付其他費用，該等費用 (如有) 將獨立收取而非在付予本行之手續費中扣除。就不同市場不同證券所涉及之公司行動收費，客戶請於委託本行辦理前向本行查詢。

客戶如欲委託本行買賣其他國家/市場之證券，或要求本行辦理上列收費項以外的其他指示，歡迎致電本行客戶服務熱線 (852) 230 95555 或親臨各分行查詢最新收費詳情。

此文件為招商永隆銀行有限公司環球證券買賣服務之收費資料，以供客戶參考。本行保留不時通知更改任何收費及費用之權利。未有列明於本表之收費及費用，請聯絡本行查詢。

此文件之中、英文版本如有任何歧義，一概以中文版本為準。

DBD169-(O)10-2022

招商永隆銀行有限公司



Global Securities Trading Services Charges Schedule

(With effect from 1st Jan 2023)

(A) Securities Trading¹

Items	Charge Scale (Calculation based on shares)	Minimum Charge	Maximum Charge	Remarks
U.S. Shares				
Brokerage · Via NET Securities Services or CMB Wing Lung Bank Wintech mobile banking services	Share Intervals	USD/Share	USD8	The brokerage commission calculation is based on the accumulative number of shares transacted successfully, through Electronic channel and Manual channel, in a natural month. Transactions will be recalculated from the first trading day of each month. The commission of transaction will be settled and deducted after the close of each day. Example: the commission of 1050 shares transacted successfully in the US stock market =500*\$0.018/share (first 500 shares' range) +500*\$0.01750/share (range in 501-1000 shares) +50*\$0.0170/share (range in 1001-5000 shares) = \$18.6
	500 shares or below	0.0180		
	Range in 501-1,000 shares	0.0175		
	Range in 1,001-5,000 shares	0.0170		
	Range in 5,001-10,000 shares	0.0165		
	Range in 10,001-50,000 shares	0.0160		
	Range in 50,001-200,000 shares	0.0150		
	Range in 200,001-500,000 shares	0.0130		
	Range in 500,001-1,000,000 shares	0.0120		
	Range in 1,000,001-5,000,000 shares	0.0110		
Above 5,000,000 shares	0.0100			
· Via Branches or Manned Securities Services Trading Hotline	Share Intervals	USD/Share	USD30	
	500 shares or below	0.0360		
	Range in 501-1,000 shares	0.0350		
	Range in 1,001-5,000 shares	0.0340		
	Range in 5,001-10,000 shares	0.0330		
	Range in 10,001-	0.0320		

	50,000 shares				
	Range in 50,001-200,000 shares	0.0300			
	Range in 200,001-500,000 shares	0.0260			
	Range in 500,001-1,000,000 shares	0.0240			
	Range in 1,000,001-5,000,000 shares	0.0220			
	Above 5,000,000 shares	0.0200			
SEC Fees	0.00229% of transaction amount	USD0.01	--	--	For SELL trade only (Rate subject to change irregularly per calendar year based on US SEC policy)
FINRA TAF Fee	USD 0.00013/Share of sell transaction shares	USD0.01	USD6.49	--	For SELL trade only Collected for FINRA (Rate subject to change irregularly per calendar year based on FINRA policy)
Non DTC Eligible Stock Clearing Fees	Calculation based on the different types of OTC stocks	--	USD75	--	Applicable to Over-The-Counter Bulletin Board (OTCBB). The chargeable fees may exceed the order consideration
ADRs Fee	USD0.01-0.05 per share	--	--	--	Collected by the ADR issuers on book close day
Settlement Fees	USD 0.003/Share	-	-	-	Based on U.S. Settlement Agency etc.
Custody Fee	Free of charge	--	--	--	Not applicable to ADRs, fees may be charged by the ADR issuers
Items	Charge Scale (Based on transaction amount of each order)	Minimum Charge	Maximum Charge	Remarks	
China A Shares²					
Brokerage · Via NET Securities Services or CMB Wing Lung Bank Wintech mobile banking services	0.2%	RMB60	--	--	
· Via Branches or Manned Securities Services	0.25%	RMB200			

Trading Hotline					
Handling Fee ³	0.00487%	--	--	--	Collected for SSE/SZSE
Securities Management Fee ³	0.002%	--	--	--	Collected for CSRC
Transfer Fees ³	0.002%	--	--	--	Collected for ChinaClear Shanghai /ChinaClear Shenzhen
	0.002%				Collected for HKSCC
Stamp Duty ³	0.1%	--	--	--	For SELL trade only. Collected for SAT
Japan Shares					
Brokerage · Via Branches or Manned Securities Services Trading Hotline	1%	JPY 3,000	--	--	Trading Japan odd lots shares requires for an additional item of transaction handling fee.
Custody Fee	Free of charge	--	--	--	
Singapore Shares					
Brokerage · Via NET Securities Services	0.25%	EUR35 / USD40 / SGD50 / AUD50 / RMB270 /HKD 300	--	--	
· Via Branches or Manned Securities Services Trading Hotline	1%				
Clearing Fee	Normal Shares: 0.0325% Structured Warrants: 0.05%	--	--	--	SGD 200
SGX Access Fee	0.0075%	--	--	--	
Custody Fee	Free of charge	--	--	--	
Australia Shares					
Brokerage · Via Branches or Manned Securities Services Trading Hotline	1%	AUD60	--	--	
Custody Fee	Free of charge	--	--	--	
U.K. Shares					
Brokerage · Via Branches or Manned Securities Services Trading Hotline	1%	GBP60 / EUR75 / USD100	--	--	
Stamp Duty	0.5%	--	--	--	For BUY trade only
PTM Levy	GBP1	--	--	--	Applicable to some U.K. stocks with transaction amount more than GBP10,000
Securities Clearing System	Fees will be collected on actual payable amount if applicable	--	--	--	

Settlement Fees					
Custody Fee	Free of charge	--	--	--	
Germany Shares					
Brokerage · Via Branches or Manned Securities Services Trading Hotline	1%	EUR40 / USD55	--	--	
Other Transaction Fees	Fees will be collected on actual payable amount if applicable	--	--	--	
Custody Fee	German stock portfolio value x 0.05% / 12	EUR1	--	--	Payable in next calendar month
Switzerland Shares					
Brokerage · Via Branches or Manned Securities Services Trading Hotline	1%	CHF50 / GBP35 / EUR40 / USD55	--	--	
Other Transaction Fees	Fees will be collected on actual payable amount if applicable	--	--	--	
Custody Fee	Free of charge	--	--	--	

(B) Nominee Service and Corporate Actions Charges

Items	Charge Scale	Minimum Charge	Maximum Charge
China A Shares²			
Deposit of Non-Physical Scrip	Free of charge	--	--
Withdrawal of Non-Physical Scrip	HKD250 per stock	--	--
Collection of Dividends, Interest or Cash Benefits ⁴	0.5% on the received amount	RMB30	RMB2,000
Other Corporate Actions Charges	HKD150 per instruction	--	--
U.S. Shares			
Deposit of Non-Physical Scrip	Free of charge	--	--
Withdrawal of Non-Physical Scrip	HKD800 per stock	--	--
Collection of Dividends, Interest or Cash Benefits ⁴	2.5% on the received amount	JPY 1,500	JPY 30,000
Other Corporate Actions Charges	HKD250 per instruction	--	--
Singapore Shares			
Withdrawal / Deposit of Physical Scrip	HKD800 per stock and HKD30 per physical scrip	--	--
Deposit of Non-Physical Scrip	Free of charge	--	--
Withdrawal of Non-Physical Scrip	HKD800 per stock	--	--
Collection of Dividends, Interest or Cash Benefits ⁴	2.5% on the received amount	SGD25	SGD300
Other Corporate Actions Charges	HKD200 per instruction	--	--
Australia Shares			
Deposit of Non-Physical Scrip	Free of charge	--	--
Withdrawal of Non-Physical Scrip	HKD800 per stock	--	--
Collection of Dividends, Interest or Cash Benefits ⁴	2.5% on the received amount	AUD15	AUD300

Other Corporate Actions Charges	HKD200 per instruction	--	--
U.K. Shares			
Deposit of Non-Physical Scrip	Free of charge	--	--
Withdrawal of Non-Physical Scrip	HKD800 per stock	--	--
Collection of Dividends, Interest or Cash Benefits ⁴	2.5% on the received amount	GBP20	GBP180
Other Corporate Actions Charges	HKD250 per instruction	--	--
Germany Shares			
Deposit of Non-Physical Scrip	Free of charge	--	--
Withdrawal of Non-Physical Scrip	HKD800 per stock	--	--
Collection of Dividends, Interest or Cash Benefits ⁴	2.5% on the received amount	EUR10	EUR200
Other Corporate Actions Charges	HKD250 per instruction	--	--
Switzerland Shares			
Deposit of Non-Physical Scrip	Free of charge	--	--
Withdrawal of Non-Physical Scrip	HKD800 per stock	--	--
Collection of Dividends, Interest or Cash Benefits ⁴	2.5% on the received amount	CHF15	CHF250
Other Corporate Actions Charges	HKD250 per instruction	--	--

(C) Information Services

Customer can select ET Net or PowerTicker Real-time Streaming Stock Quote Services			
ET Net	Internet Version Monthly Fee	Internet + Mobile Version Monthly Fee	Remarks
Shanghai A Shares	HKD600	HKD638	-HK Shares Streaming Stock Quote Services is included -If the HK Shares and China A shares brokerage paid amount in a calendar month is equivalent to HKD2,000 or above, monthly fee will be waived next month.
Shenzhen A Shares	HKD600	HKD638	
Shanghai A Shares + Shenzhen A Shares	HKD850	HKD888	-HK Shares Streaming Stock Quote Services is included -If the HK Shares and China A shares brokerage paid amount in a calendar month is equivalent HKD2,500 or above, monthly fee will be waived next month.
PowerTicker (Professional Desktop Download Version +Mobile Version)			
Shanghai A Shares		HKD850	-HK Shares Streaming Stock Quote Services is included -If the HK Shares and China A shares brokerage paid amount in a calendar month is equivalent to HKD2,500 or above, monthly fee will be waived next month.
Shenzhen A Shares		HKD850	
Shanghai A Shares + Shenzhen A Shares		HKD1,100	

(D) Margin Services

Margin Financing Rate	
US Dollar Margin Financing Rate	The Bank's USD Prime Rate (Subject to fluctuations) + 1.5p.a.
RMB Margin Financing Rate	The Bank's RMB Prime Rate (Subject to fluctuations) - 0.5p.a.

Remarks:

1. Customers are required to pay securities custody fees (120 HKD/year) annually in the account opening month; Not chargeable if there is no securities (HK/global, etc.) during the year or the annual HK shares turnover amount reaches HKD5,000,000. For details, please refer to Securities Custody Fee in Part E3-Securities Service Charges (Part 1) of "CMB Wing Lung Bank Service Tariff Guide".

2.China A Shares refers to Shanghai A Shares and Shenzhen A Shares covered under "Shanghai-Hong Kong Stock Connect" and "Shenzhen-Hong Kong Stock Connect" programs.

3. Fees and charges are determined and collected by Shanghai Stock Exchange (“SSE”), Shenzhen Stock Exchange (“SZSE”), China Securities Regulatory Commission (“CSRC”), China Securities Depository and Clearing Corporation Limited, Shanghai Branch (“ChinaClear Shanghai”), China Securities Depository and Clearing Corporation Limited, Shenzhen Branch (“ChinaClear Shenzhen”), State Administration of Taxation (“SAT”) and Hong Kong Securities Clearing Company Limited (“HKSCC”).

4. The charge for the item “Collection of Dividends, Interest or Cash Benefit” will be deducted in the stock’s original currency. For case that the amount payable is not the same currency as the minimum and maximum charge listed above, CMB Wing Lung Bank Limited (“the Bank”) will collect the amount in foreign currency equivalent. The final exchange rate will be determined by the Bank.

Apart from the brokerage fee, customers are required to pay other transaction fees including but not limit to transaction fees collected by overseas stock exchange or stock brokers, settlement fees charged by overseas securities clearing system, services fees charged by securities custody or securities transaction sales tax charged by overseas Government, etc when trading global securities. Notice may not be available in advance for fees updated by the third parties and the Bank will collect the fees from customer according to the latest charges.

When receiving the dividends, interest or other benefits from the securities markets listed above, customer may need to pay the applicable tax on the dividends, interest or cash benefits regulated by relevant government. The actual tax rate depends on the tax policy of relevant countries and is subject to change from time to time. Please contact the Bank for details. The Bank will debit the tax according to the latest tax rate.

In addition to paying the Bank the above-listed charge for handling corporate action instruction on behalf of customer, customer may have to pay other fees or charges in relation to the corporate action. Such fee and charge (if any) will be collected separately and will not be deducted from the handling charge paid to the Bank. Before lodging your instruction to the Bank, please contact the Bank to inquire for the applicable fee and charge for corporate action of particular securities of different market.

For securities trading of other countries or markets, or request to the Bank to process instructions other than the above-mentioned charged items, please contact our Customer Services Hotline at (852) 230 95555 or any of our branches for the latest charges details.

The information in this document is for customer’s reference in relation to the charges for Global Securities Trading Services offered by CMB Wing Lung Bank Limited. The Bank reserves the right to revise any fees and charges to be provided from time by time by notice. For fees and charges not listed in this document, please contact the Bank for details.

In case of discrepancies between the English and Chinese versions of this document, the Chinese version shall prevail.

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CMB Wing Lung Bank Limited